

APPLICANT:

Toshitsugu Ono et al.

SERIAL NO.

09/543,844

FILING DATE:

April 5, 2000

INVENTION:

"OPTICAL RECORDING MEDIA"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OLD ATTORNEY DOCKET NO. P00,0251

NEW ATTORNEY DOCKET NO. 09792909-0305

GROUP ART UNIT: 2754

EXAMINER: Unknown

RECEIVED

JAN 10 2001

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Technology Center 2600

HON. ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger, Reg. 32,919

David R. Metzger
Sonnenschein, Nath & Rosenthal
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, Illinois 60606
Telephone (312) 876-8000

When phoning re this application,
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on December 29, 2000.

Anne Frang

RECEIVED
JAN 12 2001
TC 1700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Toshitsugu Ono
SERIAL NO.: 09/543,844
DATE FILED: April 5, 2000
INVENTION: "OPTICAL RECORDING MEDIUM"

DOCKET NO.: 09792909-0305
GROUP ART UNIT: 2754
EXAMINER: Unknown

RECEIVED
JAN 10 2001
Technology Center 2600

Hon. Assistant Commissioner for Patents
Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
------------------	---------------	-----------------	-------------------

II. SUBMITTED FOREIGN PATENT REFERENCES

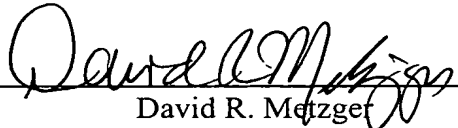
<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AL	1112547	Japan	May 1, 1989
AM	06009976	Japan	January 18, 1994
AN	09241668	Japan	September 16, 1997
AO	4222448A1	Germany	January 14, 1993

III. EXPLANATION OF RELEVANCE

References AL, AM, AN and AO were cited in a European Patent Office Search Report of June 30, 2000 in counterpart European Application No. 00107379.0. A copy of the Search Report is submitted herewith.

RECEIVED
JAN 12 2001
TO 1700 MAIL ROOM

Submitted by,



(Reg. 32,919)

David R. Metzger

SONNENSCHN NATH & ROSENTHAL

P.O. Box 061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Telephone: 312/876-8000

Attorneys for Applicant(s)